S SHAREHOLDE S SERVICE G GROUP	1	NOTE:	Include	copy of	the mos	st rece	nt accou	nt state	<b>M</b> (ACAT & Non-ACAT) ment from the account to be transferred. learing and custody agent.	9845 Erma Road, Suite 312 San Diego, CA 92131-1084 (800) 380-7370 Fax: (858) 530-1820 www.shareholdersgroup.com
Member FINRA/SIPC/Re	g'd MSRB <sup>°</sup>	29 F Iali	s: Sigii (	botn pag	ges of th		n to nqu	iuate al	d transfer cash to a 529 account at SSG.	
<b>Receiving Account</b>								_		For Office Use
SSG Account #			-						Delivering Firm Information Firm Name	Clearing <u>#</u>
								_	Contact Name	
Delivering Account #									Firm Address (Not P.O. Box) City, State, ZIP	
Client Name (As on stat	ement)								Telephone Number	
									Account Type SN (Single) JT (Joint)	wpes below)
Primary Social Securi	ty or Tay	ID #							<b>RI</b> (Roth IRA) <b>SI</b> (SIMPLE IRA)	<b>QP</b> (Qualified Plan) <b>4K</b> (401k)
T Tilliar y Sociar Securi	ty of Tax								IR (Traditional IRA) CT (Co-trustee) CU (Custodian) BC (Bank Custody	ES (Estate) AG (Agency) EI (Education Savings Acct)
Secondary Social Secu	-								<b>DR</b> (Direct Rollover) <b>OT</b> (Other)	<b>529</b> (529 Plan)
Transfer Type Select	only one t	ransfer t	ype							
below. Attach a copy You must submit a so A. Tra B. Liqu liquidat results, PARTIAL TRANSFE the process of a broker sign both pages of this DRS/DRIP PLAN T and sent as cash to the BANK, OR INSURA A. TRANSFE B. LIQUIDAT C. LIQUIDAT D. LIQUIDAT Liquidatic NOTE: If you have re price(s) after your trans	of the acc eparate T insfer all iidate asso the funds a sell asset ER: Tran- age account Account T RANSFER client. (No ANCE CO R ONLY TE ALL AS E BANK O FE CD AT ins of CDs equested a sfer form i e; market	ount sta ransfer assets ets acco nd tran s you d sfer on it (ACA ransfer the to tra MPAN CASH SSETS A CD IMM MATU , check a liquid s receiving	atemen Form in kin ording usfer as lo not v ly the a AT) tran Form.) RIP pla ansfer a Y, ANN Choose AND THE EDIAT RITY A ing acco lation, ved, revisions or	t. To t for ea for ea d, <u>incl</u> t to ins s cash. wish to issets a ansfer. (A) ans or l agent: UITY cone opt RANSF ELY A ND TH ounts of your 1 iewed delays	ransfer ich fund ich fund istructi There is transfer transfer and qua For Par DRS differ electron TRANS tion: TRANS FER ALI ND TRA RANSFE or savin, market and det is in the is	r mul d fan Mon ions ( may h fer pri antition rect st nicall SFER ] ALL L CAS ANSF ALL CAS ANSF ER CA ANSF ER CA	ttiple fi nily. ey Fun on Pag be tax ir ior to s es india <i>Cransfer</i> tock tra <i>by send</i> <i>Choos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i> <i>Cos</i>	unds fi nd bal ge 2. 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DIRECT ROLLOV	ER (Check	the box	here to	indica	te if this				from a Qualified Retirement Plan)	
									ve met the requirements for making a rollo essional. All information provided by me i	
relied on by Pershing L	LC and SS	G. I ass	ume ful	l respo	nsibility	/ for th	his rollo	ver trar	saction and will not hold Pershing LLC or	SSG liable for any adverse
consequences that may	result. I he	reby irr	evocabl	y desig	mate this $70^{1}/2$ ve	s cont	tribution	in fund	ds or other property as a ROLLOVER CON vledge that this transfer will not include	TRIBUTION.
(RMD) for the										the required minimum distribution
TO THE PRIOR TRU	<u>STEE:</u>									
Pershing	LLC accept advised the	ots appo	ointmen	t as su	ccessor	custo	odian.		does hereby accept appointmer	it as successor custodian
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SUCCESSOR CUSTODI					A 1				DATE	
trustee above. Unless otherwise Rule 412 or similar rule of th nontransferable proprietary mor the credit balance in my accoun change dividend reinvestment o in good deliverable form, incluu receiving a copy of this transfer	indicated in t e Financial F ey market fur t. If my accoun ptions in my a ling affixing a information, y	he instruct Regulatory ad assets the nt does no account to ny necessary you will ca	Authority nat are part t contain a the extent ary tax wa ancel all o	e, please t y (FINR, t of my a a credit ba necessar ivers, to o pen order	transfer all A) or othe ccount and alance, or i y to satisfy enable the rs for my a	l assets er desig d transfe if the cr y that ob success account	in my acc gnated ex er the resu- redit balan bligation. I sor custod	amining a amining cred ce in the a of certification to tran pooks. I at	ed retirement account, I have amended the applicable p ershing without penalties. Such assets may be transfer authority. Unless otherwise indicated in the instructi lit balance to the successor custodian. I authorize you to account is insufficient to satisfy any outstanding fees du tes or other instruments in my account are in your phys isfer them in its name for the purpose of the sale, when firm that I have destroyed or returned to you credit/del ny assets in my account that are not transferrable.	ed within the time frames required by NYSE ons above, I authorize you to liquidate any o deduct any outstanding fees due to you from e to you, I authorize you to liquidate assets or ical possession, I instruct you to transfer them and as directed to me. I understand that upon
			,n			1			SIGNATURE GUARANTEE:	
SIGNATURE					I	DATE	2		SISTATIONS COMMITTEE.	
JOINT SIGNATURE					I	DATE				

SSG Account Transfer 02/20

IBD: Shareholders Service Group Inc

PHONE: 858-530-1031

TFRR

QUANTITY				wners MUST sign pg	DIVIDENT	CLARKE CAL		
	ASSET DESCRIPTION	CUSIP/SYMBOL	ACCOUNT #	INSTRUCTIONS (Check one)	DIVIDENDS (Check one)	CAPITAL GAIN (Check one)		
				Liquidate	Cash	Cash		
				Transfer in kind	Cash	Cash		
				Transfer in kind	Reinvest	Reinvest		
				Liquidate	Cash Reinvest	Cash Reinvest		
					Cash	Cash		
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				Liquidate	Cash Reinvest	Cash Reinvest		
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				Liquidate	Cash	Cash		
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	ASE NOTE: If you have requ fer request is received, review							
	ons or delays in the review of							
	ection above, the default divid							
	g Firm: If this account is a qualified ret							
	the transfer all assets in my account to Per TINRA) or other designated examining auth							
	unt and transfer the resulting credit balance							
ot contain a credit bala	nce, or if the credit balance in the account i	s insufficient to satisfy any outsta	inding fees due to you, I authorize	you to liquidate the assets in n	ny account to the ext	ent necessary to satisfy the		
	s or other instruments in my account are in ransfer them in its name for the purpose of							
ccount on your books.	I affirm that I have destroyed or returned to	you credit/debit cards and/or ur	used checks issued to me in con	nection with my brokerage acco	ount. I understand the	at you will contact me w		
	n of any assets in my account that ARE NO							
CLIENT SIGNAT	URE		DATE	1				
OINT CLIENT S	IGNATURE		DATE					
	NS FOR DELIVERING I	FIRM						
CONTACT &	Delivery Information	ACAT Department: (201) 413-4687						
		Non-ACAT Departm	nent: (201)	413-4571or (201) 41				
		Mutual Fund Depart	ment: (888)	367-2563 (Option 4)				
SEND to	Pershing LLC One Pershing Plaza							
	Jersey City, New Jersey 0	7300						
	5 5 5							
	TAX IDENTIFICATION	NUMBER 13_27417	729					
CHECKS* Sen	TAX IDENTIFICATION and availified plan account cha			tment.				
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